

Executive Certificate in Anti-Money Laundering, Anti-Fraud and Risk Modelling

Application Form

Name in English (Same as ID card)			
Name in Chinese			
Mobile No.		Telephone No. (Home)	
Gender	Male ☐ Female ☐	HKID Card No. (First 4 digits)	
E-mail Address			
Correspondence address			
Academic Qualification			
Occupation			
Payment Method	☐ Cheque : _____ ☐ EPS : _____		

Declaration

I declare that, to the best of my knowledge, all information on this form is complete and accurate. I understand that the Centre for Advanced and Professional Studies (CAPS), Saint Francis University may obtain records from any institute attended by me and provide information supplied by me to other institutions or government bodies. I also understand that the University reserves the right to vary any decision made on the basis of incorrect or incomplete information supplied by me.

Application Details

Completed application form, together with tuition fees, should be submitted

1. by post to The Centre for Advanced and Professional Studies, Rm 202, 2 Chui Ling Lane, Tseung Kwan O, N.T. ;
or
2. in person to CAPS office.

Tuition fees can be paid by cheque or EPS. Payment by cheque must be made payable to "Saint Francis University" with the name and contact number of the applicant, and the programme title written at the back of the cheque.

Signature: _____

Date: _____



Rita Tong Liu School of Business
and Hospitality Management
廖湯慧靄商業及款待管理學院

Centre for Advanced and Professional Studies (CAPS)
高等及專業教育中心

Executive Certificate in Anti-Money Laundering, Anti-Fraud and Risk Modelling



Enquiry & Application:

Venue: Saint Francis University,
2 Chui Ling Lane, Tseung Kwan O
(Tiu Keng Leng MTR Station, Exit B)
Tel: 3653 6700
Email: caps@sfu.edu.hk
Web: http://caps.cihe.edu.hk

Application:



Objectives:

- The programme aims to:
- provide participants with up-to-date knowledge in Anti-Money Laundering (AML) and Anti-Fraud practices, focusing on the Hong Kong regulatory regime and control framework;
 - enable participants to gain a deep understanding of AML and fraud detection techniques, including the application of RegTech, risk modelling, rule-based systems, and AI-driven approaches; and
 - generate discussions on trade-based money laundering, cryptocurrency-related AML, and data privacy considerations.

Target Participants:

- Employees of financial institutions including commercial banks, trusts and funds, securities and futures companies, insurance, and wealth management companies
- Employees of businesses in all industries, social enterprises, and not-for-profit organisations
- Legal and accounting professionals
- Current and prospective owners of all types of businesses and organisations, including startups

Course Outline:

- Module 1: AML in Hong Kong and Anti-Fraud Measures (2 sessions @ 3 hrs each)
- Module 2: Tackling Money Laundering / Fraud and Technological Applications (3 sessions @ 3 hrs each)
- Module 3: Money Laundering / Fraud Activities and Regulatory Policies (3 sessions @ 3 hrs each)
- Module 4: Case Sharing and Student Presentations (2 sessions @ 3 hrs each)

Duration: 10 sessions (Total 30 hours)

Instructors:

Qualified instructor(s) with background in AML/FCC compliance and law enforcement, professional experience in utilizing tools and driving the digital transformation of systems related to Anti-fraud detection, would be appointed by the Rita Tong Liu School of Business and Hospitality Management.

Admission Requirements:

- 18 years old or above, and;
- Hold a Higher Diploma or Associate Degree awarded by a recognised institution

Award:

- Executive Certificate in Anti-Money Laundering, Anti-Fraud and Risk Modelling; or
- Attendance Certificate (fulfil at least 70 % of attendance in individual modules), will be issued jointly by Rita Tong Liu School of Business and Hospitality Management and CAPS.

***Date and Time:** 18, 21, 25 & 28 Nov, and 2, 5, 9, 12 & 14 Dec 2024
Mon and Thu, 7:00-10:00p.m. and Sat 9:30a.m.-4:30p.m. (with one hour break)

Medium of Instruction: English (supplemented by Cantonese)

Fees: HK\$9,000.00

Points to Note

1. Applicants must be Hong Kong residents. The HK identity document(s) should be presented during application. No age limit is set for each module except stated otherwise, but applicants should fulfill the academic or professional requirements.
2. Please return the completed application form, in person or by post, to the Centre for Advanced and Professional Studies (CAPS) together with photocopies of your identity card, academic credentials and information relevant to your application.
3. The application deadline is usually 1 week before commencement of each module/ programme. Admission is on first-come-first-served basis.
4. The University reserves the right to decide whether an applicant is admitted or not, and holds the final decision to cancel a module/ programme in case of insufficient registration. If a module/ programme is cancelled by the University due to any reason, tuition fees paid will be refunded by crossed cheque.
5. Tuition fees paid will not be refunded in all cases unless the module/ programme is cancelled by the University. Upon registration, no change of class, transfer of fee or fees refund would be allowed.
6. Tuition fees can be paid by “EPS” or cheque. Please make the cheque payable to “Saint Francis University” and mark clear the applicant’s name, course name and contact number at the back of the cheque. All receipts of tuition fee should be kept carefully. No replacement of receipt would be provided.
7. All classes take place as scheduled unless typhoon signal no.8 or Black Rainstorm Warning Signal is hoisted, or class cancellation is announced by the Education Bureau. Students have the responsibility to pay attention to announcements on the media during unstable weather.
8. The University will issue certificates of attendance or completion only to students who have attained 70% or above of the attendance.
9. The University reserves the right to alter class arrangements in case of special event or absence of tutors.
10. Unless with permission from the University, no recording activity is allowed in class. No sales or promotion activity is allowed unless authorised by “Caritas-Hong Kong”.
11. Information supplied by applicants will be kept in strict confidence. Applicants may check or amend their personal information on the application form if necessary. In the event that applicants fail to provide adequate information, the Institute may not be able to process their applications or offer any service.
12. For any enquiry, please contact us by phone at 3653 6700.



** Saint Francis University reserves the right to make any necessary changes.*